

**PATENT**  
Attorney Docket N<sup>o</sup> POC 99-1-1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant or Patentee : Andrew Lee Winstrom, et al.

Serial N<sup>o</sup> :

Filed :

Group Art Unit :

Examiner :

For :

**PARTICULATE ANIMAL FEED SUPPLEMENTS AND  
PROCESSES FOR THE PREPARATION THEREOF**

47

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 C.F.R. §1.9(f) and §1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor, as defined in 37 C.F.R. §1.9(c), for purposes of paying reduced fees under 35 U.S.C. §41(a) and (b), to the Patent and Trademark Office, with regard to the invention entitled:

**PARTICULATE ANIMAL FEED SUPPLEMENTS AND PROCESSES FOR THE  
PREPARATION THEREOF**

described in application Serial N<sup>o</sup> \_\_\_\_\_ filed on \_\_\_\_\_.

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

Pennfield Oil Company  
14040 Industrial Road  
Omaha, NE 68144

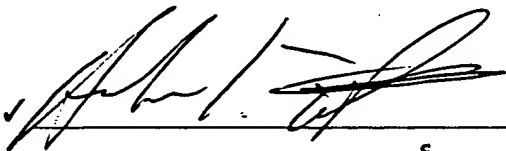
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

The Attorney of Record is hereby authorized to insert the Serial Number and filing date when received from the United States Patent and Trademark Office.

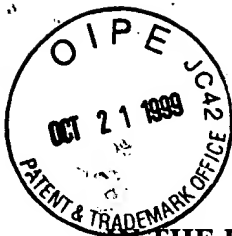
Name of Person Executing: **Andrew Lee Winstrom**

SIGNATURE ✓



DATE ✓

6/30/44



**PATENT**  
Attorney Docket N<sup>o</sup> POC 99-1-1

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Serial or Patent N<sup>o</sup> :  
Filed or Issued :  
For : **PARTICULATE ANIMAL FEED SUPPLEMENTS AND  
PROCESSES FOR THE PREPARATION THEREOF**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 C.F.R. §1.9(f) and §1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

**NAME OF CONCERN** : Pennfield Oil Company  
**ADDRESS OF CONCERN** : 14040 Industrial Road  
Omaha, NE 68144

I hereby declare that the above identified small business concern qualifies as a small business as defined in 13 C.F.R. §121.3-18, and reproduced in 37 C.F.R. §1.9(d), for purposes of paying reduced fees under 95 U.S.C. §41(a) and (b) in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties control or has the power to control both.

I hereby declare that rights under contract or law have been conveyed, to and remain with the small business identified above with regard to the invention, entitled:

**PARTICULATE ANIMAL FEED SUPPLEMENTS AND PROCESSES FOR THE  
PREPARATION THEREOF**

by inventors Andrew Lee Winstrom and Willis L. Winstrom described in the specification filed herewith on \_\_\_\_\_, 1999, via Express Mail, Label No. EL 280 285 432 US.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. §1.9(d) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

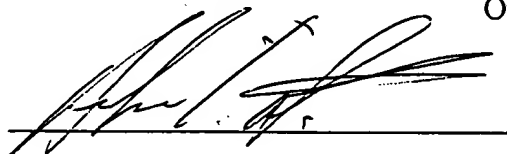
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 C.F.R. §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

The Attorney of Record is hereby authorized to insert the Serial Number and filing date when received from the United States Patent and Trademark Office.

NAME OF PERSON SIGNING : Andrew Lee Winstrom  
TITLE OF PERSON OTHER THAN OWNER : President  
ADDRESS OF PERSON SIGNING : 14040 Industrial Road  
Omaha, NE 68144

SIGNATURE



DATE

6/30/99



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The Attorney of Record is hereby authorized to insert the Serial Number and filing date when received from the United States Patent and Trademark Office.

Name of Person Executing: Willis L. Winstrom

SIGNATURE ✓

DATE ✓